

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10991-002001	Application No. 09/379,460
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Edward P. Ingenito	
		Filing Date August 23, 1999	Group Art Unit 3763

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
(gcn).	AA	US 5,814,022	09/29/98	Anatanavich <i>et al.</i>			
	AB	US 5,773,418	06/30/98	Edwardson <i>et al.</i>			
	AC	US 5,739,288	04/14/98	Edwardson <i>et al.</i>			
	AD	US 5,733,545	03/31/98	Hood, III			
	AE	US 5,437,292	08/01/95	Kipshidze <i>et al.</i>			
	AF	US 5,782,748	07/21/98	Palmer <i>et al.</i>			
	AG	US 5,728,751	03/17/98	Pamaik			
(gcn).	AH	US 5,423,312	06/13/95	Siegmund <i>et al.</i>			
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
(gcn).	AL	WO 97/46632	12/11/97	WIPO				
	AM	WO 97/01989	01/23/97	WIPO				
	AN	WO 97/42986	11/20/97	WIPIO				
	AO	WO 97/33633	09/18/97	WIPO				✓
(gcn).	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
(gcn).	AQ	Baumann <i>et al.</i> , <i>Ann. Thorac. Surg.</i> 64(1):230-233, 1997.
	AR	Foucher <i>et al.</i> , <i>Eur. Respir J.</i> , 9(10):2181-2182, 1996.
	AS	Hamamoto <i>et al.</i> , <i>Kekkaku (JAPAN)</i> , 64(11):713-719, 1989
	AT	Hirao <i>et al.</i> , <i>Nippon Kyobu Geka Gakkai Zasshi (JAPAN)</i> 42(11):2144-2149, 1994.
	AU	Ikushima <i>et al.</i> , <i>J. Jpn Assoc. Thorac. Surg.</i> 36(11):2521-2524, 1988.
(gcn).	AV	Kawamata <i>et al.</i> , <i>Kyobu Geka (JAPAN)</i> , 51(2):165-167, 1998.

Examiner Signature <i>Catherine S. Williams</i>	Date Considered 7/19/04
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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Other Documents (Include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
(gn).	AW	Klepko et al., <i>Chirurg (GERMANY)</i> , 67(12):1215-1221, 1996.
	AX	Koyama et al., <i>ACTA Haematol. Jpn.</i> 51(4):808-815, 1988.
	AY	Matthew et al., <i>Ann. Thorac. Surg.</i> 50(1):40-43, 1990.
	AZ	Nakamura et al., <i>Kyobu Geka (JAPAN)</i> , 50(7):589-593, 1997.
	AAA	Nonaka et al., <i>Kyobu Geka (JAPAN)</i> 45(11):975-978, 1992.
	ABB	Regel et al., <i>surg. Endosc. (GERMANY, WEST)</i> 4(1):31-35, 1990.
	ACC	Samuels et al., <i>Chest</i> 109(6):1653-1655, 1996.
	ADD	Scheyer et al., <i>Surg. Endosc. (GERMANY)</i> , 10(5):501-503, 1996.
	AEE	Sprung et al., <i>Anaesthesia (ENGLAND)</i> 52(12):1207-1211, 1997.
	AFF	Sznajder et al., <i>J. Appl. Physiol.</i> 67(2):817-823, 1989.
	AGG	Takenouchi et al., <i>Kyobu Geka (JAPAN)</i> 50(3):243-246, 1997.
(gn).	AHH	Vaca et al., <i>Am. J. Crit. Care</i> , 5(6):412-419, 1996.
	AII	
	AJJ	

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U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
12/12/89	AA	4,886,496	12/12/89	Conoscenti <i>et al.</i>			
03/17/98	AB	5,728,132	03/17/98	Van Tassel <i>et al.</i>			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
02/22/89	AC	EP 0 303 756	02/22/89	Europe				
	AD	WO 95/13748	05/26/95	WIPO				
	AE	WO 99/25782	05/27/99	WIPO				
01/11/01	AF	WO 01/02042	01/11/01	WIPO				

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AG	International Search Report
	AH	
	AI	
	AJ	

Examiner Signature <i>Catherine S. Williams</i>	Date Considered 7/19/04
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SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO.		SERIAL NO.										
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) (37 CFR 1.98(b))				APPLICANT Edward P. Ingenito												
				FILING DATE		GROUP										
U.S. PATENT DOCUMENTS																
EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE			
(Cen.)	AA	5	4	3	7	2	9	2	08/01/95	Kipshidze et al.						
	AB	5	5	8	3	1	1	4	12/10/96	Barrows et al.						
	AC	5	7	3	9	2	8	8	04/14/98	Edwardson et al.						
	AD	5	7	7	3	4	1	8	06/30/98	Edwardson et al.						
	AE	5	7	3	3	5	4	5	03/31/98	Hood, III						
(Cen.)	AF	5	8	1	4	0	2	2	09/29/98	Antanavich et al.						
	AG															
FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION																
		DOCUMENT NUMBER							PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION			
													YES	NO		
(Cen.)	AH	W097/33633							09/18/97	PCT						
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)																
(Cen.)	AI	Cooper et al., J. Thorac. Cardiovasc. Surg. 109:106-116, 1995														
	AJ	Cooper et al., J. Thorac. Cardiovasc. Surg. 112:1319-1329, 1996														
	AK	Gibson et al., Am. Rev. Resp. Dis. 120:799-811, 1979														
	AL	Hoppin, Am. J. Resp. Crit. Care Med. 155:520-525, 1997														
	AM	Katloff et al., Chest 110:1399-1406, 1996														
(Cen.)	AN	Swanson et al., J. Am. Coll. Surg. 185:25-32, 1997														
EXAMINER <i>Catherine S. Williams</i>										DATE CONSIDERED <i>7/19/04</i>						
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.																

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10991-002002	Application No. 09/658,338
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Edward P. Ingentio	
		Filing Date September 8, 2000	Group Art Unit

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
den.	AA	5,814,022	09/29/98	Antanavich <i>et al.</i>			
	AB	5,583,114	12/10/96	Barrows <i>et al.</i>			
	AC	5,773,418	06/30/98	Edwardson <i>et al.</i>			
	AD	5,739,288	4/14/98	Edwardson <i>et al.</i>			
	AE	5,733,545	03/31/98	Hood, III			
	AF	5,437,292	08/01/95	Kipshisdze <i>et al.</i>			
	AG	5,836,905	11/17/98	Lemelson <i>et al.</i>			
	AH	6,117,425	09/12/00	MacPhee <i>et al.</i>			
den.	AI	5,980,866	11/09/99	Uchida <i>et al.</i>			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
den.	AJ	WO97/33633	09/18/97	PCT			(U.S. Pat No. 5,980,866 copy enclosed)	

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
den.	AK	Cooper <i>et al.</i> , <i>J. Thoracic & Cardiovascular Surgery</i> 112:1319-1330, 1996.
	AL	Cooper <i>et al.</i> , <i>J. Thorac. Cardiovasc. Surg.</i> 109:106-116, 1995.
	AM	Cooper <i>et al.</i> , <i>J. Thorac. Cardiovasc. Surg.</i> 112:1319-1329, 1996.
	AN	Gibson <i>et al.</i> , <i>Am. Rev. Resp. Dis.</i> 120:799-811, 1979.
	AO	Hoppin, <i>Am. J. Resp. Crit. Care Med.</i> 155:520-525, 1997.
	AP	Kotloff <i>et al.</i> , <i>Chest</i> 110:1399-1406, 1996.
den.	AQ	Swanson <i>et al.</i> , <i>J. Am. Coll. Surg.</i> 185:25-32, 1997.

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U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
CSW.	AC	DE 4423178	01/04/96	Germany				✓

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
CSW.	AD	Hauck <i>et al.</i> , <i>World J. Surg.</i> <u>15</u> (1):146-149, 1991.
	AE	Inoue <i>et al.</i> , <i>Lung</i> , <u>172</u> (5):293-298, 1994.
	AF	Rock <i>et al.</i> , <i>Am. J. Respir. Crit. Care Med.</i> <u>151</u> (4):1047-1052, 1995.
	AG	Spotnitz <i>et al.</i> , <i>Am. Surg.</i> <u>55</u> (3):166-168, 1989.
	AH	Stammberger <i>et al.</i> , <i>Eur. J. Cardiothorac. Surg.</i> (NETHERLANDS), <u>11</u> (6):1005-1010, 1997.
	AI	Straub <i>et al.</i> , <i>Z. Erkr Atmungsorgane</i> (GERMANY, EAST) <u>167</u> (1-2):185-189, 1986.
	AJ	Waclawiczek <i>et al.</i> , <i>Surg. Endosc.</i> (GERMANY, WEST) <u>1</u> (2):99-102, 1987.
CSW.	AK	Zimmer <i>et al.</i> , <i>J. Hepatol.</i> <u>28</u> (2):292-297, 1998.

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